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Attorney Docket No.: 02307O-117500US Client Reference No.: 2001-355

Commissioner for Patents **85**. Box 1450

Alexandria, VA 22313-1450

On September (25), 2003

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wen-Lin Kuo

Application No.: 09/819,148

Filed: March 27, 2001

For: METHODS FOR DIAGNOSING AND MONITORING MALIGNANCIES BY SCREEINING GENE COPY

NUMBERS

Examiner: Not Yet Assigned

Art Unit: 1651

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Wen-Lin Kuo

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Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kevin Bastian Reg. No. 34.7

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MTD:mtd 60045416 v1

Substitute for form 1449b/FIVE ADENT FORMATION DISCLOSURE (use as many sheets as necessary)

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Sheet

	Complete if Known	
Application Number	09/819,148	
Filing Date	March 27, 2001	
First Named Inventor	Polikoff, Daniel	
Art Unit	1651	
Examiner Name	Not Yet Assigned	
Attorney Docket Number	02307O-117500US	

		NON PATENT LITERATURE DOCUMENTS	_
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
muais	0001	Borrello et al., "trk AND ret Proto-Oncogene Expression in Human Neuroblastoma Specimens: High Frequency of trk Expression in Non-Advanced Stages", Int. J. Cancer 54: 540-545 (1993).	
	0002	Schneider et al., "A novel modular mosaic of cell adhesion motifs in the extracellular domains of the neurogenic trk and trk B tyrosine kinase receptors", Oncogene 6: 1807-1811 (1991).	<u> </u>
-	0003	Martin-Zanca et al., "Human mRNA of trk oncogene", Database Genbank Accession No. X03541 (1993).	
	0004	Sato et al., "c-myc mRNA Overexpression is Associated with Lymph Node Metastasis in Colorectal Cancer", Eur. J. Cancer 30A:8: 1113-1117 (1994).	
	0005	Lemoine et al., "The Epidermal Growth Factor Receptor in Human Pancreatic Cancer", Journal of Pathology 166:7: 7-12 (1992).	
	0006	Scorilas et al., "Determination of c-myc amplification and overexpression in breast cancer patients: evaluation of its prognostic value against c-erbB-2, cathepsin-D and clinicopathological characteristics using univariate and multivariate analysis", <i>British Journal of Cancer</i> 81:8: 1385-1391 (1999).	

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.